

01-27-00

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ATTORNEY'S DOCKET NO.  
066303.0112

PATENT APPLICATION

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APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)  
TRANSMITTAL FORM

Box Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application  
of:

Inventor or Application Identifier:  
A. J. Paul Carew, et al.

Entitled: METHOD AND APPARATUS FOR PROVIDING VOICE SIGNALS  
TO AND FROM A TELECOMMUNICATIONS SWITCH

Enclosed are:

☒ Specification (24 Total Pages)  
☒ Drawing(s) (2 Total Sheet(s) of ☒ Formal ☐ Informal)  
☒ Combined Declaration and Power of Attorney (☐ Total Pages)  
    Newly executed (original or copy)  
☒ Copy from a prior application  
    (for continuation/divisional only)

☒ The prior application was assigned of record to General  
Bandwidth Inc., a Delaware corporation.

☒ Information Disclosure Statement (IDS) PTO-1449  
    Copies of IDS Citations.

☒ Preliminary Amendment

☒ Certificate of Mailing

☒ Return Receipt Postcard

☐ Other \_\_\_\_\_

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PATENT APPLICATION

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Applicant is:

☐ Large Entity

☒ Small Entity

☐ Small Entity Statement enclosed

☒ Small Entity Statement filed in prior application.

Status still proper and desired.

The accompanying application is:

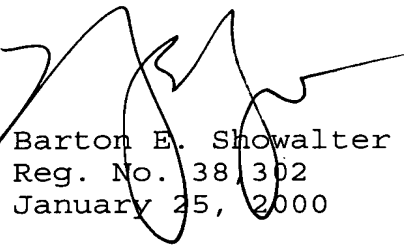
☐ Original

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application No. 09/356,250 which is hereby  
incorporated by reference therein.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$ 345.00
Total Claims:	49	- 20 =	29	X \$9 =	\$ 261.00
Independent Claims	5	- 3 =	2	X \$39 =	\$ 78.00
TOTAL FILING FEE =					\$ 684.00

☒ Enclosed is a check in the amount of \$684.00 filing fee requirements under 37 C.F.R. § 1.16. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
BAKER & BOTTS, L.L.P.  
Attorneys for Applicant

  
Barton E. Showalter  
Reg. No. 38,302  
January 25, 2000

Correspondence Address:  
Baker Botts L.L.P.  
2001 Ross Avenue  
Dallas, Texas 75201-2980  
(214) 953-6509

Attorney's Docket:  
066303.0112


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: A. J. Paul Carew, et al.  
Date Filed: January 25, 2000  
Title: METHOD AND APPARATUS FOR  
PROVIDING VOICE SIGNALS TO AND FROM  
A TELECOMMUNICATIONS SWITCH

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Application Transmittal Form, Preliminary Amendment, Drawings, Declaration and Power of Attorney (copy from a prior application), Small Entity Statement (copy from a prior application), Information Disclosure Statement, PTO 1449, and firm check in the amount of \$684.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 25th day of January, 2000, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Willie Jiles

Express Mail Receipt  
No. EM082813984US

Applicant or Patentee: A. J. 1, et al.  
Serial or Patent No.

Attorney . . . . . No. 066303.0105

Filed or Issued: July 16, 1999

Title: METHOD AND APPARATUS FOR PROVIDING VOICE SIGNALS  
TO AND FROM A TELECOMMUNICATIONS SWITCH

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby state that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: General Bandwidth Inc.  
Address of Small Business Concern: 8303 N. Mopac, Suite A-101  
Austin, Texas 78759

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD AND APPARATUS FOR PROVIDING VOICE SIGNALS TO AND FROM A TELECOMMUNICATIONS SWITCH by inventor(s) A. J. Paul Carew, Ronald D. Lutz, Jr., and Brendon W. Mills, described in the specification filed herewith.

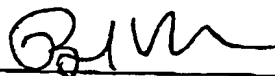
If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e):

NONE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or my maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

Name of Person Signing: Brendon W. Mills  
Title of Person if other than owner: President and CEO  
Address of Person Signing: 8303 N. Mopac, Suite A-101  
Austin, Texas 78759

Signature:



Date:

7-15-99